

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

THE BOARDS OF TRUSTEES FOR THE
CARPENTERS HEALTH AND SECURITY
TRUST OF WESTERN WASHINGTON AND FOR
THE GROUP INVESTMENT TRUST OF THE
CARPENTERS INDIVIDUAL ACCOUNT
PENSION TRUST OF WESTERN WASHINGTON
AND CARPENTERS RETIREMENT TRUST OF
WESTERN WASHINGTON,

No. 20 Civ. 9479 (KPF)

Plaintiffs,

v.

ALLIANZ GLOBAL INVESTORS U.S. LLC, *et al.*,

Defendants.

**STIPULATION AND ORDER CONCERNING VOLUNTARY DISMISSAL
PURSUANT TO FEDERAL RULE OF CIVIL PROCEDURE 41(a)(1)**

WHEREAS, the Court entered Civil Case Management Plan # 1 (the “CMP”) on December 7, 2020 (ECF No. 46), which provided for the voluntary dismissal and tolling of claims against certain Defendants.

NOW, THEREFORE, IT IS HEREBY STIPULATED AND AGREED:

1. Pursuant to Federal Rule of Civil Procedure 41(a)(1), Plaintiffs the Boards of Trustees for the Carpenters Health and Security Trust of Western Washington and the Group Investment Trust of the Carpenters Individual Account Pension Trust of Western Washington and the Carpenters Retirement Trust of Western Washington (the “Trusts”) and for the Carpenters Health and Security Plan of Western Washington, the Carpenters of Western Washington Individual Account Pension Plan, and the Carpenters Retirement Plan of Western Washington (the “Plans,” and together with the Trusts, “Plaintiffs”), by and through the undersigned counsel,

hereby voluntarily dismiss all claims against Defendants Allianz Global Investors U.S. Holdings LLC, Allianz SE, Allianz Asset Management GmbH, Allianz of America, Inc., Allianz Asset Management of America Holdings Inc., Allianz Asset Management of America LLC, Allianz Asset Management of America LP, and PFP Holdings Inc. (collectively, the “Affiliate Defendants,” and together with Plaintiffs, the “Parties”) without prejudice to their inclusion at a later time.

2. The Parties agree that, to the extent permitted by applicable law, any statute of limitations, statute of repose, or other time-related defenses or claims shall be tolled as to the Affiliate Defendants as if the claims were filed on November 12, 2020, the filing date of the Complaint (ECF No. 1) until December 7, 2021, one year from the date of entry of the CMP entered by the Court on December 7, 2020 (ECF No. 46) (the “Tolling Period”).

3. The Parties shall meet and confer no later than 30 days prior to the end of the Tolling Period to discuss extending the Tolling Period on mutual consent.

4. The Affiliate Defendants agree that they will not oppose an amendment under Federal Rule of Civil Procedure 15 asserting claims against the Affiliate Defendants as defendants or including any allegations relating to the Affiliate Defendants. The Affiliate Defendants reserve all of their rights and defenses in the event an amended complaint asserting such claims is filed, including the right to move to dismiss the complaint.

5. The voluntary dismissal of the Affiliate Defendants is further subject to the provisions for tolling of these dismissed claims and other terms and conditions set forth in Paragraph 4 of the CMP.

Dated: December 10, 2020

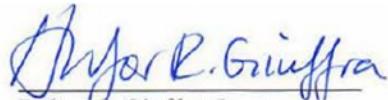
Respectfully submitted,

A handwritten signature in blue ink, appearing to read "J. H.", is placed over a horizontal line.

**BERNSTEIN LITOWITZ BERGER
& GROSSMANN LLP**

Hannah Ross
Avi Josefson
James Harrod
Michael Blatchley
1251 Avenue of the Americas
New York, NY 10020
Telephone: (212) 554-1400
Facsimile: (212) 554-1444
Hannah@blbglaw.com
Avi@blbglaw.com
Jim.Harrod@blbglaw.com
MichaelB@blbglaw.com

Counsel for Plaintiffs the Boards of Trustees for the Carpenters Health and Security Trust of Western Washington and the Group Investment Trust of the Carpenters Individual Account Pension Trust of Western Washington and the Carpenters Retirement Trust of Western Washington and for the Carpenters Health and Security Plan of Western Washington, the Carpenters of Western Washington Individual Account Pension Plan, and the Carpenters Retirement Plan of Western Washington



Robert J. Giuffra, Jr.
Stephanie G. Wheeler
Kathleen Suzanne McArthur
Ann-Elizabeth Ostrager
Hilary M. Williams
SULLIVAN & CROMWELL LLP
125 Broad Street
New York, New York 10004-2468
Telephone: (212) 558-4000
Facsimile: (212) 558-3588
giuffrjr@sullcrom.com
wheelers@sullcrom.com
mcarthurk@sullcrom.com
ostragerae@sullcrom.com
williamsh@sullcrom.com

Counsel for the Affiliate Defendants

IT IS SO ORDERED at New York, New York on this 11 day of December, 2020.

SO ORDERED:



Katherine Polk Failla
United States District Judge